#### **Breakout Session 3: Track A**

## Uncertainty Quantification of AI-Based Imaging Algorithms: The Need and Methods

Dr. Abhinav Jha (Moderator)

Assistant Professor, Washington University

## Uncertainty Quantification of Al-Based Algorithms for Medical Imaging: The Need and Methods

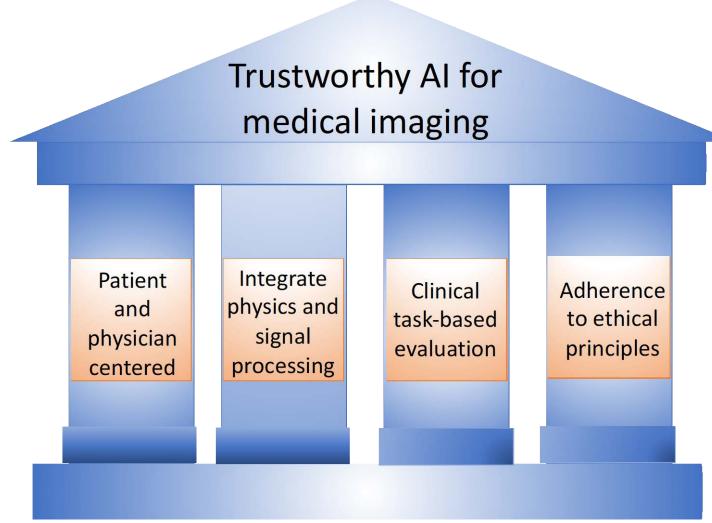
Supplemental Award title: A framework to quantify and incorporate uncertainty for ethical application of Albased quantitative imaging in clinical decision making

Abhinav K. Jha, PhD

Department of Biomedical Engineering Mallinckrodt Institute of Radiology SNMMI AI Task Force Member

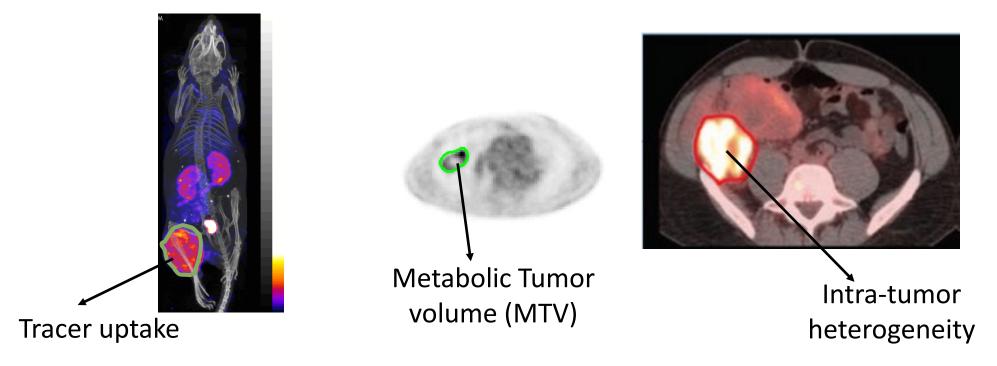
### Background

- Mission of my lab: Develop computational imaging solutions for improving the diagnosis and treatment of diseases
- Research expertise: Image reconstruction, enhancement, quantitative image analysis



### Quantitative medical imaging

The extraction of numerical/statistical features from medical images for clinical decision making



Quantitative imaging is emerging as an important tool in the clinic for multiple diagnostic and therapeutic procedures

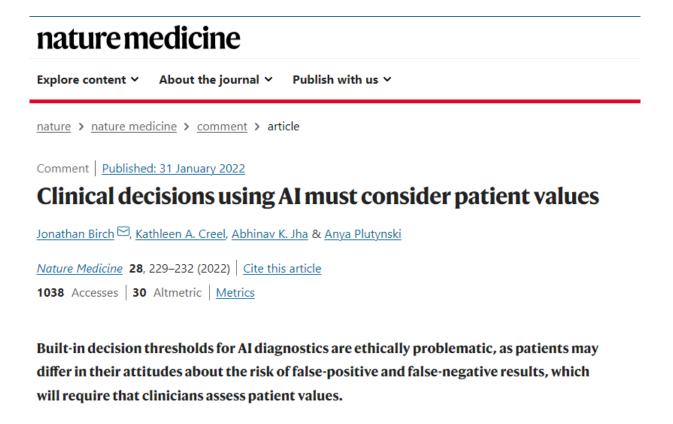
## **Challenge: Uncertainty in AI algorithm**

- Al is playing a strong role in the development of new quantitative imaging methods. However, these algorithms suffer from uncertainty
- Important that uncertainty be accounted for when making clinical decisions
- A patient-advocate survey we conducted indicated likewise
  - o~90% respondents were uncomfortable/very uncomfortable with the idea that uncertainty of AI algorithm is not conveyed to them
  - o> 75% respondents specifically wanted uncertainty to be accounted for when making clinical decisions
- Different patients may have different risk-value profiles related to the use of AI in the clinic



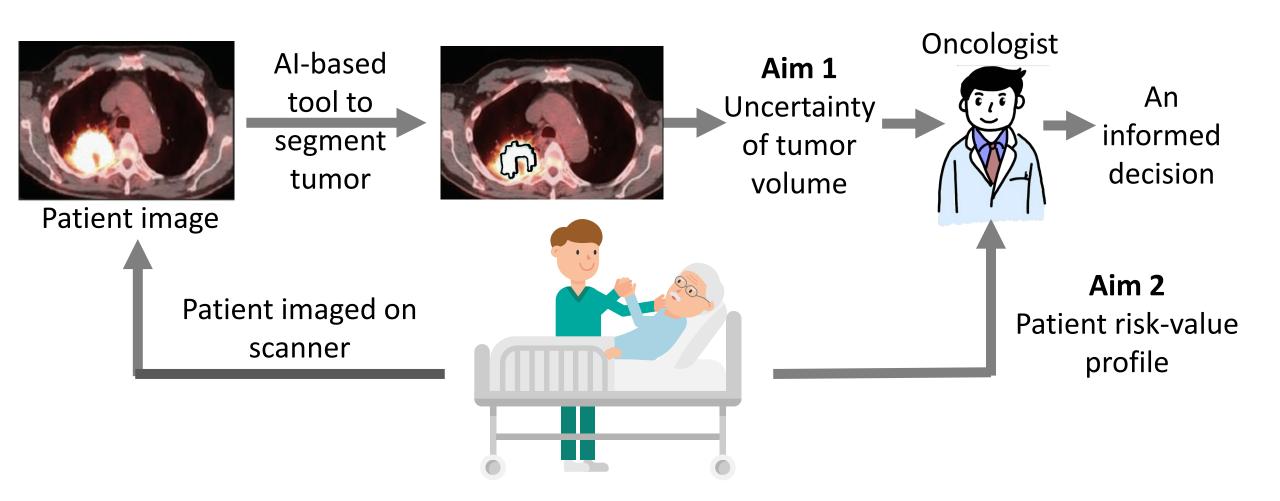
Anya Plutynski, PhD Biomedical Ethicist

## Motivation: Modeling uncertainty in AI when making clinical decisions



An important unmet need for strategies to measure and account for uncertainty when using Al-based quantitative imaging for making clinical decisions

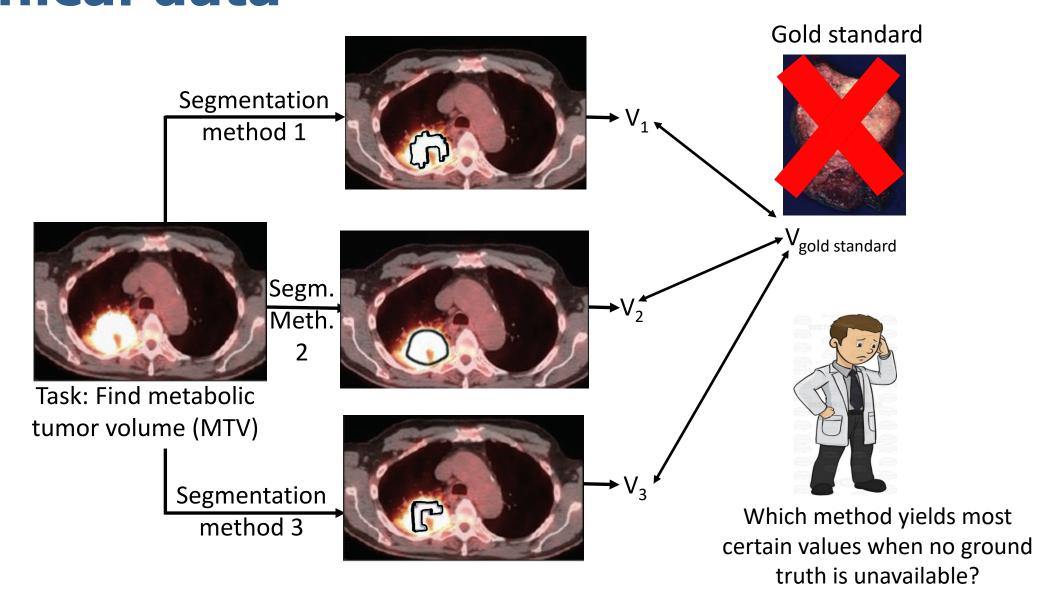
## **Illustration: Project aims**



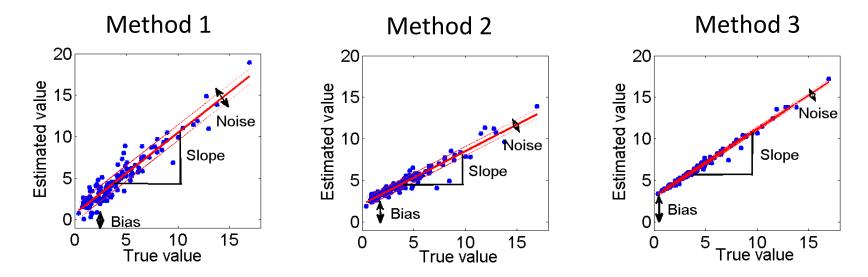
## Aim 1: Uncertainty quantification without ground truth

- Existing uncertainty-quantification methods for AI algorithms typically assume the availability of a gold standard.
- Obtaining such a gold standard is time-consuming, expensive, and in many cases, even impossible
- Towards addressing this issue, we have developed an uncertainty quantification technique for evaluating Al-based quantitative imaging methods that does not require ground truth

## Uncertainty quantification with clinical data



## No-gold-standard evaluation (NGSE): Premise



Assumption 1: True and estimated quantitative values related linearly by a slope, bias and noise standard-deviation term

Assumption 2: True values sampled from a parametric distribution function No-gold-standard evaluation (NGSE) idea: Estimate the slope, bias, and noise standard-deviation terms without knowledge of the true quantitative values<sup>1-4</sup>

<sup>&</sup>lt;sup>1</sup>Hoppin et al 2001, Trans. Med. Imag., <sup>2</sup>Jha et al 2016, Phys. Med. Biol.

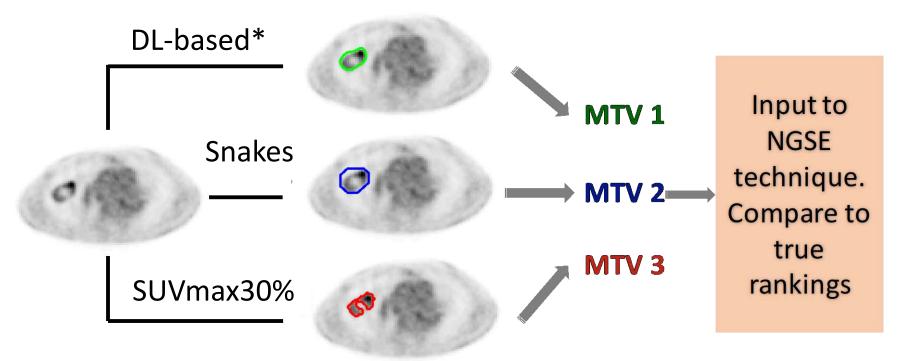
<sup>&</sup>lt;sup>3</sup>Jha et al, J. Med. Imag. 2017 <sup>4</sup>Liu et al, Proc. SPIE Med. Imag. 2022

# Example study on validating the no-gold-standard evaluation (NGSE) technique



Ziping Liu, PhD

**Evaluate PET segmentation methods on the task of measuring metabolic tumor volume (MTV)** 

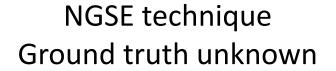


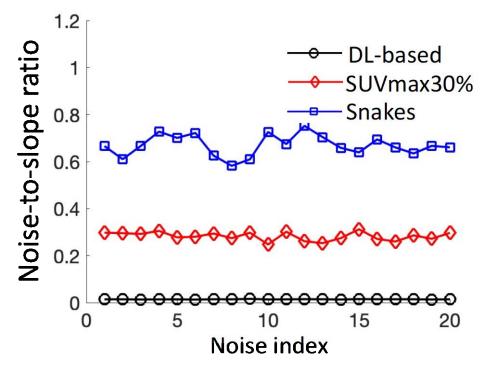
<sup>\*</sup>Liu et al, Phys. Med. Biol., 2021

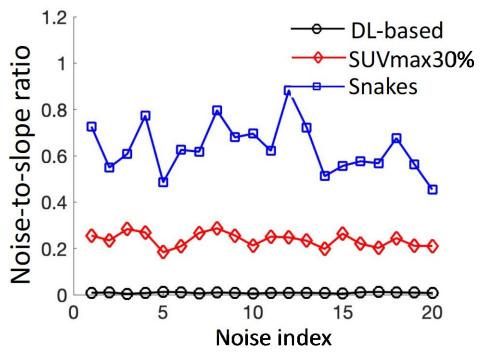
### **Results: Validation of the NGSE**

technique

Ground truth known







## Proposed NGSE technique yielded the same rankings of the segmentation methods as when ground truth was available\*

We have demonstrated similar efficacy for multiple other medical imaging modalities and developed methods to guide use of NGSE techniques\*\*

<sup>\*</sup>Liu et al, J. Nuc. Med. (suppl)

# Aim 2: Designing survey to elicit patient risk-value profiles



Anya Plutynski, PhD Biomedical Ethicist



Tyler Fraum, MD Radiologist

Designing a survey to elicit patient risk-value profies in using AI for analysis of their scans



Clifford Robinson, MD Radiation oncologist



Abhinav Jha, PhD Computational imaging scientist

#### Survey design

- **Context**: Using AI-based tools for delineation of tumors from positron emission tomography/computed tomography (PET/CT) scans in patients with stage III non-small cell lung cancer
- **Goal**: Assess the patient's risk-value profiles about the use of AI-based tools in analysis of their PET/CT exams, with the objective that this could help physicians in modeling these values
- **Structure**: Educational introduction followed by questions to gauge patient attitudes about AI, risk-value profiles, and uncertainty
- **Next steps**: Finalize survey and circulate amongst patient advocates to obtain feedback and refine survey questions
- Outcome: A survey to elicit patient risk-value profiles to incorporate Al uncertainty

#### Efforts at a community level

As member of the Society of Nuclear Medicine and Molecular Imaging task force, we published papers on ethical considerations for AI in medical imaging



Ethical Considerations for Artificial Intelligence in Medical Imaging: Deployment and Governance

Jonathan Herington, Melissa D. McCradden, Kathleen Creel, Ronald Boellaard, Elizabeth C. Jones, Abhinav K. Jha, Arman Rahmim, Peter J.H. Scott, John J. Sunderland, Richard L. Wahl, Sven Zuehlsdorff and Babak Saboury

Journal of Nuclear Medicine October 2023, 64 (10) 1509-1515; DOI: https://doi.org/10.2967/jnumed.123.266110

#### **Scholarly work**

- 1. J. Birch, K. A. Creel, **A. K. Jha**, A Plutynski, "Clinical decisions using AI must consider patient values", Nature Medicine, 28, pp. 229–232, Jan 2022, PMID: 35102337 (<u>Go to the article</u>)
- 2. Y. Liu and A. K. Jha, "How accurately can quantitative imaging methods be ranked without ground truth: an upper bound on no-gold-standard evaluation", SPIE Med. Imag. 2024 (Finalist: Robert F. Wagner Best Student Paper award)
- 3. J. Herington, M. D. McCradden, K. Creel, R. Boellaard, E. C. Jones, P. KC, A. K Jha, A. Rahmim, P. J.H. Scott, J. Sunderland, R. L. Wahl, S. Zuehlsdorff, and B. Saboury, "Ethical Considerations for Artificial Intelligence in Medical Imaging: Data Collection, Development, and Evaluation", J. Nuc. Med., 64(12), 2023, PMID: 37827839 (Go to the article)
- 4. J. Herington, M. D. McCradden, K. Creel, R. Boellaard, E. C. Jones, P. KC, **A. K Jha**, A. Rahmim, P. J.H. Scott, J. Sunderland, R. L. Wahl, S. Zuehlsdorff, and B. Saboury, "Ethical Considerations for Artificial Intelligence in Medical Imaging: Deployment and Governance", J. Nuc. Med., 2023, 64 (10) 1509-1515 (<u>Go to the article</u>)
- 5. Z. Liu, Z. Li, J. C. Mhlanga, B. A. Siegel, **A. K. Jha**\*, "No-gold-standard evaluation of quantitative imaging methods in the presence of correlated noise", Proc. SPIE Med. Imag. 2022, PMID: 36465994 (<u>Go to the article</u>) (Finalist: Robert F. Wagner Best Student Paper award)
- 6. "Measuring, defining, and reframing uncertainty in AI for clinical medicine", final stages of preparation

#### Acknowledgements





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